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**METHOD, APPARATUS, AND PROGRAM FOR GENERATING JAVA FULL  
THREAD DUMPS FROM A REMOTE JVM**

**BACKGROUND OF THE INVENTION**

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**1. Technical Field:**

The present invention relates to data processing systems and, in particular, to generating full thread dumps in a distributed data processing system. Still  
10 more particularly, the present invention provides a method, apparatus, and program for generating full thread dumps in a server Java Virtual Machine from a remote Java Virtual Machine.

15 **2. Description of Related Art:**

Java is a programming language designed to generate applications that can run on all hardware platforms without modification. Java was modeled after C++, and Java programs can be called from within hypertext markup  
20 language (HTML) documents or launched stand alone. The source code of a Java program is compiled into an intermediate language called "bytecode," which cannot run by itself. The bytecode must be converted (interpreted) into machine code at runtime. When running a Java  
25 application, a Java interpreter (Java Virtual Machine) is invoked. The Java Virtual Machine (JVM) translates the bytecode into machine code and runs it. As a result, Java programs are not dependent on any specific hardware and will run in any computer with the Java Virtual  
30 Machine software.

Remote Method Invocation (RMI) is a remote procedure call (RPC), which allows Java objects (software

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components) stored in a network to be run remotely. In the Java distributed object model, a remote object is one whose methods can be invoked from another JVM, potentially on a different host.

- 5           When a JVM is started from a console application, the JVM provides a mechanism to generate a full thread dump, which returns the current status of each Java thread in the process. The full thread dump of a JVM is a very useful tool for debugging Java application code,  
10 as well as the JVM itself. Normally, a full thread dump can be generated by pressing a sequence of keys, such as a Control-Break (Ctrl-Break) key sequence, in the console window in which the Java application is running. However, if the Java application does not have a console  
15 window, the user cannot issue a key sequence to generate a full thread dump, as is the case with remote objects using the RMI protocol.

- Thus, it would be advantageous to provide a mechanism for generating a full thread dump from a remote  
20 Java Virtual Machine.

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**SUMMARY OF THE INVENTION**

The present invention provides a mechanism for performing a full thread dump at a remote JVM. The present invention provides a virtual windows console for the server JVM. When a user enters a full thread dump command, the dump command is sent to the server JVM via RMI in the same manner all other commands are sent to the server JVM. The server JVM then passes a key sequence to the virtual windows console and the virtual windows console sends the key sequence back to the server JVM that generates the full thread dump. The full thread dump is then passed to a thread dump server task through a hook in the server JVM. The thread dump server task then passes the full thread dump back to the client JVM via RMI in the same manner all other results are returned from the server JVM.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10       **Figure 1** depicts a pictorial representation of a network of data processing systems in which the present invention may be implemented;

15       **Figure 2** is a block diagram of a data processing system that may be implemented as a server in accordance with a preferred embodiment of the present invention;

**Figure 3** is a block diagram illustrating a data processing system in which the present invention may be implemented;

20       **Figures 4A and 4B** are diagrams depicting a full thread dump in a prior art Java Virtual Machine environment;

25       **Figure 5** is a diagram illustrating the generation of a full thread dump at a server JVM from a remote JVM in accordance with a preferred embodiment of the present invention;

**Figure 6** is a flowchart of the operation of a client Java Virtual Machine in accordance with a preferred embodiment of the present invention; and

30       **Figure 7** is a flowchart of the operation of a server Java Virtual Machine in accordance with a preferred embodiment of the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

With reference now to the figures, **Figure 1** depicts a pictorial representation of a network of data processing systems in which the present invention may be implemented. Network data processing system **100** is a network of computers in which the present invention may be implemented. Network data processing system **100** contains a network **102**, which is the medium used to provide communications links between various devices and computers connected together within network data processing system **100**. Network **102** may include connections, such as wire, wireless communication links, or fiber optic cables.

In the depicted example, a server **104** is connected to network **102** along with storage unit **106**. In addition, clients **108**, **110**, and **112** also are connected to network **102**. These clients **108**, **110**, and **112** may be, for example, personal computers or network computers. In the depicted example, server **104** provides data, such as boot files, operating system images, and applications to clients **108-112**. Clients **108**, **110**, and **112** are clients to server **104**. Network data processing system **100** may include additional servers, clients, and other devices not shown. In the depicted example, network data processing system **100** is the Internet with network **102** representing a worldwide collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host computers, consisting of thousands of commercial, government, educational and other computer systems that

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route data and messages. Of course, network data processing system **100** also may be implemented as a number of different types of networks, such as for example, an intranet, a local area network (LAN), or a wide area network (WAN). **Figure 1** is intended as an example, and not as an architectural limitation for the present invention.

Referring to **Figure 2**, a block diagram of a data processing system that may be implemented as a server, such as server **104** in **Figure 1**, is depicted in accordance with a preferred embodiment of the present invention. Data processing system **200** may be a symmetric multiprocessor (SMP) system including a plurality of processors **202** and **204** connected to system bus **206**. Alternatively, a single processor system may be employed. Also connected to system bus **206** is memory controller/cache **208**, which provides an interface to local memory **209**. I/O bus bridge **210** is connected to system bus **206** and provides an interface to I/O bus **212**. Memory controller/cache **208** and I/O bus bridge **210** may be integrated as depicted.

Peripheral component interconnect (PCI) bus bridge **214** connected to I/O bus **212** provides an interface to PCI local bus **216**. A number of modems may be connected to PCI bus **216**. Typical PCI bus implementations will support four PCI expansion slots or add-in connectors. Communications links to network computers **108-112** in **Figure 1** may be provided through modem **218** and network adapter **220** connected to PCI local bus **216** through add-in boards.

Additional PCI bus bridges **222** and **224** provide interfaces for additional PCI buses **226** and **228**, from

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which additional modems or network adapters may be supported. In this manner, data processing system **200** allows connections to multiple network computers. A memory-mapped graphics adapter **230** and hard disk **232** may  
5 also be connected to I/O bus **212** as depicted, either directly or indirectly.

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 2** may vary. For example, other peripheral devices, such as optical disk  
10 drives and the like, also may be used in addition to or in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

The data processing system depicted in **Figure 2** may  
15 be, for example, an IBM RISC/System 6000 system, a product of International Business Machines Corporation in Armonk, New York, running the Advanced Interactive Executive (AIX) operating system.

With reference now to **Figure 3**, a block diagram  
20 illustrating a data processing system is depicted in which the present invention may be implemented. Data processing system **300** is an example of a client computer. Data processing system **300** employs a peripheral component interconnect (PCI) local bus architecture. Although the  
25 depicted example employs a PCI bus, other bus architectures such as Accelerated Graphics Port (AGP) and Industry Standard Architecture (ISA) may be used. Processor **302** and main memory **304** are connected to PCI local bus **306** through PCI bridge **308**. PCI bridge **308** also  
30 may include an integrated memory controller and cache memory for processor **302**. Additional connections to PCI local bus **306** may be made through direct component

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interconnection or through add-in boards. In the depicted example, local area network (LAN) adapter **310**, SCSI host bus adapter **312**, and expansion bus interface **314** are connected to PCI local bus **306** by direct component connection. In contrast, audio adapter **316**, graphics adapter **318**, and audio/video adapter **319** are connected to PCI local bus **306** by add-in boards inserted into expansion slots. Expansion bus interface **314** provides a connection for a keyboard and mouse adapter **320**, modem **322**, and additional memory **324**. Small computer system interface (SCSI) host bus adapter **312** provides a connection for hard disk drive **326**, tape drive **328**, and CD-ROM drive **330**. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

An operating system runs on processor **302** and is used to coordinate and provide control of various components within data processing system **300** in **Figure 3**. The operating system may be a commercially available operating system, such as Windows 2000, which is available from Microsoft Corporation. An object oriented programming system such as Java may run in conjunction with the operating system and provide calls to the operating system from Java programs or applications executing on data processing system **300**. "Java" is a trademark of Sun Microsystems, Inc. Instructions for the operating system, the object-oriented operating system, and applications or programs are located on storage devices, such as hard disk drive **326**, and may be loaded into main memory **304** for execution by processor **302**.

Those of ordinary skill in the art will appreciate that the hardware in **Figure 3** may vary depending on the



implementation. Other internal hardware or peripheral devices, such as flash ROM (or equivalent nonvolatile memory) or optical disk drives and the like, may be used in addition to or in place of the hardware depicted in

5 **Figure 3.** Also, the processes of the present invention may be applied to a multiprocessor data processing system.

As another example, data processing system **300** may be a stand-alone system configured to be bootable without

10 relying on some type of network communication interface, whether or not data processing system **300** comprises some type of network communication interface. As a further example, data processing system **300** may be a Personal Digital Assistant (PDA) device, which is configured with

15 ROM and/or flash ROM in order to provide non-volatile memory for storing operating system files and/or user-generated data.

The depicted example in **Figure 3** and above-described examples are not meant to imply architectural

20 limitations. For example, data processing system **300** also may be a notebook computer or hand held computer in addition to taking the form of a PDA. Data processing system **300** also may be a kiosk or a Web appliance.

With reference now to **Figures 4A** and **4B**, diagrams

25 are shown depicting a full thread dump in a prior art Java Virtual Machine environment. Particularly with respect to **Figure 4A**, a user **410** issues a key sequence, such as Ctrl-Break, to windows console **415** to generate a full thread dump. The windows console passes the key

30 sequence to Java Virtual Machine (JVM) **420**. The JVM generates a full thread dump and returns the full thread dump to windows console **415**. The windows console then

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passes the full thread dump to user **410**.

Turning now to **Figure 4B**, user **430** issues a key sequence, such as Ctrl-Break, to windows console **435** to generate a full thread dump. The windows console passes  
5 the key sequence to client JVM **440**. The client JVM generates a full thread dump and returns the full thread dump to windows console **435**. The windows console then passes the full thread dump to user **430**.

Client JVM **440** may pass user commands to server JVM  
10 **450** through the Remote Method Invocation (RMI) protocol. However, the system shown in **Figure 4B** does not provide a mechanism for generating a full thread dump at the server JVM from the client JVM.

With reference now to **Figure 5**, a diagram  
15 illustrating the generation of a full thread dump at a server JVM from a remote JVM is shown in accordance with a preferred embodiment of the present invention. User **510** issues a dump command to windows console **520** to generate a full thread dump at server JVM **540**. The  
20 windows console passes the dump command to client JVM **530**. The client JVM then sends the dump command to the server JVM via the RMI protocol in the same manner other commands are sent to the server JVM.

The server JVM responds to the dump command by  
25 invoking thread dump server task **544**. The thread dump server task begins capturing all output from the `vfprintf` hook **542** that is destined for the `stderr` handle. A hook is a set of instructions that provides breakpoints for future expansion. Hooks may be changed to call some  
30 outside routine or function or may be places where additional processing is added. `Stderr` is a standard

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file handle to which applications may send error messages. Likewise, there is a "stdout" file handle that is used for normal output by applications. When the JVM generates a full thread dump, it sends the full thread  
5 dump to the stderr file handle. Usually, the stderr file handle displays text on the screen, i.e. the windows console. In accordance with a preferred embodiment of the present invention, all output is captured to the stderr file handle and forwarded to the thread dump  
10 server task.

In response to receiving a thread dump command from the server JVM, the thread dump server task issues a key sequence to virtual windows console **550** at the server. The virtual windows console then passes the key sequence  
15 to server JVM **540** as if it received a full thread dump key sequence from a user. The server JVM then generates a full thread dump.

The full thread dump is then passed to `vfprintf` hook **542**, which returns the data to thread dump server task  
20 **544**. Once all of the full thread dump has been captured, the thread dump server task then forwards the full thread dump back to the client JVM via RMI, in the same manner all other results are returned from the server JVM. The client JVM then returns the full thread dump to windows  
25 console **520**. The windows console then, in turn, returns the full thread dump to user **510**.

Using this mechanism, the user may enter a dump command from a console at a remote JVM and receive a full thread dump from the server JVM. The dump command may  
30 then be passed to the server JVM using the normal communication process between the client JVM and the server JVM. Likewise, the resulting full thread dump can

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be returned to the client JVM using the normal communication process between the client JVM and the server JVM. In accordance with a preferred embodiment of the present invention, the communication mechanism used  
5 here is RMI; however, other communication mechanisms may be used within the scope of the invention.

With reference to **Figure 6**, a flowchart of the operation of a client Java Virtual Machine is shown in accordance with a preferred embodiment of the present  
10 invention. The process begins and receives user input (step **602**). The process parses the user input (step **604**) and a determination is made as to whether the input is a quit command (step **606**). If the input is a quit command, the process ends.

15 If the input is not a quit command in step **606**, the process submits the command to a server JVM (step **608**), receives results from the server JVM (step **610**), and presents the results (step **612**). Thereafter, the process returns to step **602** to receive user input.

20 With reference now to **Figure 7**, a flowchart of the operation of a server Java Virtual Machine is illustrated in accordance with a preferred embodiment of the present invention. The process begins and creates a virtual windows console (step **702**). Next, the process starts the  
25 JVM with a vfprintf hook (step **704**) and receives a command from the client JVM (step **706**). A determination is made as to whether the command is a shutdown command (step **708**). If the command is a shutdown command, the process performs a shutdown of the server JVM (step **710**)  
30 and ends.

If the command is not a shutdown command in step

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708, a determination is made as to whether the command is a dump command. If the command is not a dump command, the process processes the command (step 714), returns the results to the client JVM (step 716), and returns to step 5 706 to receive a command from the client JVM.

If the command is a dump command in step 712, the process starts capture of vfprintf hook writes to stderr (step 718), sends a Ctrl-Break to the virtual windows console (step 720), and waits for end of output (step 10 722). Stderr is a standard file handle to which applications may send error messages. Likewise, there is a "stdout" file handle that is used for normal output by applications. When the JVM generates a full thread dump, it sends the full thread dump to the stderr file handle. 15 Usually, the stderr file handle displays text on the screen, i.e. the windows console. In accordance with a preferred embodiment of the present invention, all output is captured to the stderr file handle and forwarded to the thread dump server task. Thereafter, the process 20 stops capture of the vfprintf hook (step 724), generates results from captured output (step 726), and proceeds to step 716 to return the results to the client JVM. Thereafter, the process returns to step 706 to receive a command from the client JVM.

25 Thus, the present invention solves the disadvantages of the prior art by providing a mechanism for performing a full thread dump at a remote JVM. The present invention provides a virtual windows console for the server JVM. When a user enters a full thread dump 30 command, the dump command is sent to the server JVM via RMI in the same manner all other commands are sent to the server JVM. The server JVM then passes a key sequence to

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the virtual windows console and the virtual windows console sends the key sequence back to the server JVM that generates the full thread dump. The full thread dump is then passed to a thread dump server task through  
5 a hook in the server JVM. The thread dump server task then passes the full thread dump back to the client JVM via RMI in the same manner all other results are returned from the server JVM. Therefore, a user may perform a full thread dump for a server JVM at a remote JVM and  
10 debug the Java application code running on the server, as well as the server JVM itself.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary  
15 skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of  
20 signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog  
25 communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular  
30 data processing system.

The description of the present invention has been presented for purposes of illustration and description,

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and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in  
5 order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

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